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Approved for use through 10/31/2002. OMB 0651-0031

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Substitute for form 1449A/PTO		Complete if Known	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	Based on S.N. 10/211,893 10/656239
		Filing Date	August 9, 2002
		First Named Inventor	HISASHI OHTANI et al.
		Group Art Unit	2826 2818
		Examiner Name	Tan Tran D. LE
Sheet 1 of 3	Attorney Docket Number	0756-7198	

U.S. PATENT DOCUMENTS						
Examiner Initials ¹	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
DLE		5,843,225		Takayama et al.	12/01/98	
		5,915,174		Yamazaki et al.	06/22/99	
		5,961,743		Yamazaki et al.	10/05/99	
		5,977,559		Zhang et al.	11/02/99	
		6,072,193		Ohnuma et al.	06/06/00	
		6,066,518		Yamazaki	05/23/00	
		5,403,772		Zhang et al.	04/04/95	
		5,426,064		Zhang et al.	06/20/95	
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		5,501,989		Takayama et al.	03/26/96	
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		5,529,937		Zhang et al.	06/25/96	
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		5,563,426		Zhang et al.	10/08/96	
		5,569,610		Zhang et al.	10/29/96	
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		5,580,792		Zhang et al.	12/03/96	
		5,585,291		Ohtani et al.	12/17/96	
		5,589,694		Takayama et al.	12/31/96	
		5,595,923		Zhang et al.	01/21/97	
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		5,604,360		Zhang et al.	02/18/97	
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		5,616,506		Takemura	04/01/97	
		5,620,910		Teramoto	04/15/97	

Examiner Signature	<i>DLE</i>	Date Considered	Mar - 04
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¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)		Application Number	Based on S.N. 10/014,693 10/656239	
		Filing Date	August 9, 2002 9/08/2003	
		First Named Inventor	HISASHI OHTANI et al.	
		Group Art Unit	2826 2878	
		Examiner Name	Tan Tran D. LE	
Sheet	2	3	Attorney Docket Number	0756-7198

U.S. PATENT DOCUMENTS					
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		Number	Kind Code ³ (if known)		
De		5,621,224		Yamazaki et al.	04/15/97
		5,624,851		Takayama et al.	04/29/97
		5,637,515		Takemura	06/10/97
		5,639,698		Yamazaki et al.	06/17/97
		5,643,826		Ohtani et al.	07/01/97
		5,646,424		Zhang et al.	07/08/97
		5,654,203		Ohtani et al.	08/05/97
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		5,663,077		Adachi et al.	09/02/97
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		5,696,388		Funada et al.	12/09/97
		5,700,333		Yamazaki et al.	12/23/97
		5,705,829		Miyanaga et al.	01/06/98
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		6,310,363		Ohnuma et al.	10/30/01
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		6,355,509		Yamazaki	03/12/02
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		6,413,805		Zhang et al.	07/02/02

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials [*]	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
De		Wong et al., Characterization of the MIC/MILC Interface and Its Effects on the Performance of MILC Thin-Film Transistors, (IEEE), pp. 1061-1067, May 2000	

Examiner Signature	De	Date Considered	Mar-04
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		Filing Date	August 9, 2002 9-8-2003	
		First Named Inventor	HISASHI OHTANI et al.	
		Group Art Unit	2826-2818	
		Examiner Name	Tan-Tan D. LE	
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	6,303,415		Yamazaki	10/16/01		

OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS			
Examiner Initials ¹	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
DLE		Lee et al., Fabrication of High Mobility p-channel poly-si TFTs by self aligned MILC, (IEEE), pp. 407-409, 1996	

Examiner Signature	<i>DLE</i>	Date Considered	Mar-04
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